

Audit Assignment	Brief outline of scope	Director	Assurance	Residual Risk	Comments
<b>Delivery of strategic outcomes and good governance</b>					
Bereavement Services	Assurance in relation to the effective and compliant delivery of bereavement services	Economy & Environment	Substantial	Low	
Members allowances	Assurance on compliance with arrangements in place for payments and reporting of members allowances	Governance & Communities	Substantial	Low	
Care Leavers	Provide assurance on the effectiveness and compliance of the new inhouse arrangements	Children and Families	Substantial	Low	
<b>Probity and counter fraud</b>	<b>Proactive and reactive work to support the Council's approach to anti-fraud and corruption, to provide assurance on the controls in place designed to prevent fraud in areas of high risk</b>				
NFI					2022/2023 is data collection year for NFI with matches expected to be available from late January 2023. Outcomes from these matches will be reported in 2023/2024.
Debit/ Credit card spend	The audit provided assurance on whether controls are in place to meet key objectives and mitigate risks including: • expenditure is made using cards in line with the finance manual; • expenditure made is published for transparency.		Substantial	Low	
Z scores	The aim was to identify and review potential irregularities indicated by invoices having exceptional values compared with others for that supplier. Irregularities could include such issues as erroneous placing of decimal point, inefficient ordering or fraudulent activity.				No findings reported, any risks to be mitigated by new financial system process.
<b>Schools</b>	<b>Provide assurance on the control environment within maintained schools based upon a cyclical programme of schools audits</b>	<b>Director of Children and Families</b>			
Sir John Nelthorpe			Satisfactory	Low	
<b>Grants</b>					Reviews completed in relation to the Contained Outbreak Fund Management Fund (COMF), Household Support Grant and the Community Renewal Fund, and substance misuse additional grant . Based on the evidence provided to audit, expenditure was in line with the grant conditions